

FORM PTO-1449  
(Rev. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 14683

Serial No.  
10/828,549

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT Lu et al.

FILING DATE 04/21/2004

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5 7 7 3 9 2 9	06/30/98				
	AB	6 1 8 8 1 7 6	02/13/01				
	AC	6 3 1 6 8 7 4	11/13/01				
	AD	6 0 6 9 4 4 2	05/30/00				
	AE	5 7 7 6 6 2 3	07/07/98				
	AF	6 6 1 4 1 7 5	09/02/03				
	AG	6 5 6 6 8 0 7	05/20/03				
	AH	6 5 6 5 9 9 3	05/20/03				
	AI	6 4 8 9 0 4 4	12/03/02				
	AJ	6 4 6 9 4 3 7	10/22/02				
✓	AK	6 3 5 1 0 6 7	02/26/02				

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	AL						

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

↓	AM	"Degradation Mechanism of Small Molecule-Based Organic Light-Emitting Devices", Aziz et al., Science, March 19, 1999, Vol 283, pp 1900-1902.
	AN	"Metal/AlQ <sub>3</sub> Interface Structures", Turak et al. Applied Physics Letters, July 22, 2002, Vol 81 (4), pp 766-768.
↓	AO	"Studies of Alq/Mg: Ag Interface in Organic Light-Emitting Diodes by XPS", Feng et al., Mat. Res. Soc. Symp. Proc., 2002, Vol 725, pp P4.8.1P4.8.6.

EXAMINER

/Hana Sanei/

DATE CONSIDERED

06/08/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 602; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AP	6 4 2 9 4 5 1	08/06/02				
AQ	6 4 1 1 0 1 9	06/25/02				
AR	5 7 7 6 6 2 2	07/07/98				
AS	6 0 6 4 1 5 1	05/16/00				
AT	5 4 5 7 5 6 5	10/10/95				
AU	5 9 5 2 7 7 9	09/14/99				
AV	5 9 6 9 4 7 4	10/19/99				
AW	6 0 1 3 3 8 4	01/11/00				
AX	6 1 3 7 2 2 3	10/24/00				
AY	2003/0035979	02/20/03				
AZ						

## **FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
BA						

## **OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)**

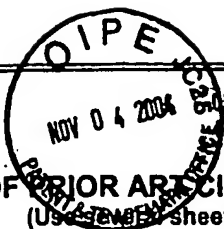
BB	"Humidity-Induced Crystallization of Tris (8-Hydroxyquinoline) Aluminum Layers in Organic Light-Emitting Devices", Aziz et al., Applied Physics Letters, Feb. 16, 1998, Vol 72 (7), pp 756-758
BC	"Transparent-Cathode for Top-Emission Organic Light-Emitting Diodes", Han et al., Applied Physics Letters, April 21, 2003, Vol 82 (16), pp 2715-2717.
BD	

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Sheet 1 of 1

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10/828,549

**LIST OF PRIOR ART CITED BY APPLICANT**  
(Use reverse sheets if necessary)

APPLICANT Lu et al.

FILING DATE April 21, 2004

GROUP 2879

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
↓	AA	2002/0180349	12/5/02				
↓	AB	2003/0132434	7/17/03				
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
↓	AL	11329749	11/30/99	Japan				
↓	AM	02056641	7/18/02	WO				
↓	AN	1388904	2/11/04	EP				
	AO							

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

↓	AP	Kransnov, A., "High-contrast organic light-emitting diodes on flexible substrates", May 20, 2002, Applied Physics Letters, Vol. 80, Num. 20, pp 3853-3855.
↓	AR	Kido, O. et al., "High quantum efficiency organic el devices having charge generation layer", March 2003, Extend Abstracts of the Meeting of the Japan Society of Applied Physics and Related Societies, Vol. 49, pp 1308.

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